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Dated: March 14, 2005

Signature: 

(Sharon M. Sintich)

Docket No.: 01017/40451C  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Patent Application of:  
Brockhaus *et al.*

Application No.: 08/444,791

Confirmation No. 5613

Filed: May 19, 1995

Art Unit: 1644

For: Human TNF Receptor

Examiner: Ronald B. Schwadron

**SEQUENCE STATEMENT PURSUANT TO 37 C.F.R. 1.825(a) and (b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby state that the content of the paper and computer-readable copies of the substitute Sequence Listing, submitted herewith in accordance with 37 C.F.R. §§ 1.821 and 1.825, are the same and include no new matter.

Dated: March 14, 2005

Respectfully submitted,

By 

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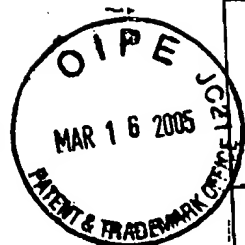
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**AMENDMENT TO THE SEQUENCE LISTING**

Please substitute the sequence listing filed on December 9, 2004 (12 sheets) with the substitute sequence listing (12 sheets) filed herewith.



## Notice to Comply

Application No.  
08/444791

Applicant(s)  
Brockhaus et al.

Examiner  
Ron Schwadron,  
Ph.D.

Art Unit  
1644

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: see enclosed communication

#### Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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For CRF Submission Help, call (703) 308-4212 or 308-2923

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